

14230 U.S. PTO  
123103

Express Mail No.: ER607531574US  
Docket No.: US920122

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
PATENT APPLICATION TRANSMITTAL UNDER 37 CFR 1.53**

22141 U.S. PTO  
10/751180  
123103

**MS PATENT APPLICATION**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing is the patent application of:

Title: SPINDLE MOTOR FOR A SLIM OPTICAL DISK APPARATUS  
Inventor(s): CHIENYI HUANG

**1. Type of application**

- This is a new utility application.

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**CERTIFICATION UNDER 37 CFR 1.10**

I hereby certify that this Provisional Patent Application Transmittal and the documents referred to as enclosed therewith are being deposited with the United States Postal Service on **December 31, 2003**, in an envelope addressed to MS Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 utilizing the "Express Mail Post Office to Addressee" service of the United States Postal Service under Mailing Label No.

  
\_\_\_\_\_  
Han-Yi Lee

**2. Application Papers Enclosed**

- 1 Title Page
- 6 Pages of Specification (excluding Claims, Abstract, Drawings)
- 3 Page(s) of Claims
- 1 Page(s) of Abstract
- 6 Sheet(s) of Drawings (Figs. 1-5)      ● Formal      ○ Informal

**3. Oath or Declaration**

- An executed declaration of the inventor(s) is enclosed

**4. Additional Papers Enclosed**

- Assignment Document
- Information Disclosure Statement
- Verified translation of a non-English patent application
- Return receipt postcard

5. **Priority Applications Under USC 119**

Certified copy of application from which priority under 35 USC 119 is claimed are listed below and

● is attached.

6. **Filing Fee Calculation (37 CFR 1.16)**

CLAIMS AS FILED – INCLUDING PRELIMINARY AMENDMENT (IF ANY)						
			SMALL ENTITY		OTHER THAN A SMALL ENTITY	
	NO. FILED	NO. EXTRA	RATE	FEE	RATE	FEE
BASIC FEE				\$385.00		\$770.00
TOTAL	10-20	= 0	X 9 =	\$0.00	X 18 =	\$0.00
INDEP.	4-3	= 1	X 43 =	\$0.00	X 86 =	\$86.00
First Presentation of Multiple Dependent Claim			+ 145 =	\$0.00	+ 290 =	\$0.00
FILING FEE:				\$0.00	OR	\$856.00

B. ○ **Design Application (\$165.00/\$330.00)** Filing Fee: \$ \_\_\_\_\_

C. ○ **Plant Application (\$255.00/\$510.00)** Filing Fee: \$ \_\_\_\_\_

D. **Other fees**

○ IDS Statement Submission [Fee -- \$180] \$ 0.00

○ Recording Assignment [Fee -- \$40.00 per assignment] \$ \_\_\_\_\_

**TOTAL FEES ENCLOSED** \$ 856.00

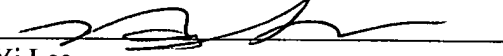
**7. Method of Payments of Fees**

Enclosed check

Please direct all future correspondence to Han-Yi Lee at the address below.

Respectfully submitted,

Date: December 31, 2003

By:   
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